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**FROMMER LAWRENCE & HAUG LLP**

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**FACSIMILE COVER LETTER**

**To:** Commissioner for Patents  
Examiner Dunn, Mishawn N.  
**Firm:** U.S. Patent and Trademark Office  
Art Unit 2621  
**Facsimile:** (571) 273-8300  
**From:** Thomas F. Presson  
**Date:** August 28, 2006  
**Re:** FLH Ref No.: 450100-03207  
Serial No: 09/849,856

**Number of Pages:** 13  
(including cover page)

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00385008

PATENT  
450100-03207RECEIVED  
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AUG 28 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Masafumi Takiguchi, et al.  
 Serial No. : 09/849,856  
 Filed : May 4, 2001  
 For : PLAYBACK APPARATUS AND ADAPTIVE EQUALIZING  
 CIRCUIT WITH A PHASE-LOCKED LOOP COUPLED THERETO  
 (AS AMENDED)  
 Examiner : Dunn, Mishawn N.  
 Art Unit : 2621

745 Fifth Avenue  
 New York, NY 10151  
 Tel: 212-588-0800

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

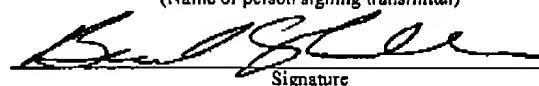
- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

| (1)                                     | (2)<br>Claims remaining after<br>amendment | (3)   | (4)<br>Highest<br>number<br>previously<br>paid for | (5)<br>Present extra | (6)<br>Rate | (7)<br>Additional<br>Fee |
|---|--|-------|--|----------------------|-------------|--------------------------|
| Total claims                            | 8  | Minus | ** =20   | 0                    | \$50 (25)   | 0                        |
| Independent claims                      | 3  | Minus | 3  | 0                    | \$200 (100) | 0                        |
| Total additional fee for this amendment |  |       |  |                      |             | 0                        |

- ☐ This application contains a multiple dependent claim. The required fee of \$360(180) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a \_\_\_ month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A check in the amount of \$ \_\_\_ is attached, which covers the cost of ☐ additional claims ☐ petition for extension of time.
- ☐ Charge \$ \_\_\_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

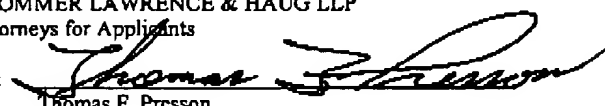
CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being transmitted via facsimile to (571) 273-8300 on August 28, 2006.

Barnet Shindlman  
 (Name of person signing transmittal)  
  
 Signature  
August 28, 2006  
 Date of Signature

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
 Attorneys for Applicants

By:   
 Thomas F. Presson  
 Reg. No. 41,442  
 Tel: 212-588-0800

00394500

U.S. Appl. No. 09/849,856  
Reply to Final Office Action dated August 10, 2006

PATENT  
450100-03207

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Masafumi Takiguchi, et al.  
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EQUALIZING CIRCUIT WITH A PHASE-  
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AMENDED)  
Filed : May 4, 2001  
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Art Unit : 2621  
Confirmation No. : 9840

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Barnet Shindlman  
(Name of person signing transmittal)

  
Signature

August 28, 2006  
Date of Signature

**AMENDMENT UNDER 37 C.F.R. § 1.116**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed on August 10, 2006, having a three-month statutory period for response set to expire on November 10, 2006, please amend the above-identified application as follows.

U.S. Appl. No. 09/849,856  
Reply to Final Office Action dated August 10, 2006

PATENT  
450100-03207

**Amendments to the Claims** are reflected in the listing of claims, which begins  
on page 3 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.